

DATACOM – 60870-5-104 SIEMENS NKG

Conformance test report of the IEC 60870-5-104 protocol implementation in the Siemens Siprotec compact Feeder Protection 7SC80 according to EDP Light PID


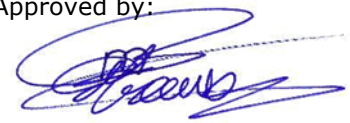
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Table of contents

1	INTRODUCTION.....	1
1.1	Background	1
1.2	Testing viewpoints	1
1.3	Purpose of this document	2
1.4	Contents of this document	2
1.5	Glossary	2
2	REFERENCES.....	3
2.1	Normative	3
2.2	Other	3
3	INTRODUCTION.....	4
3.1	Components in the test environment	4
3.2	SUT requirements	4
3.3	SUT configuration	5
3.4	UnIECim test system	5
3.5	Overview of the test suite	5
4	TEST RESULTS	7
5	CONCLUSION AND RECOMMENDATIONS	9
5.1	Exceptions with the [PICS]	9
5.2	Remarks and Recommendations following from the test	9
6	PROTOCOL IMPLEMENTATION CONFORMANCE STATEMENT (PICS)	10
6.1	System or device	10
6.2	Network configuration	10
6.3	Physical layer	10
6.4	Link layer	11
6.5	Application layer	12
Appendix A	Test Results Chart	
Appendix B-1	Test Results of Single Command Transmission	
Appendix B-2	Test Results of Double Command Transmission	
Appendix B-3	Test Results of Regulating Step Command Transmission	
Appendix B-4	Test Results of Setpoint Command Transmission	

1 INTRODUCTION

1.1 Background

Siemens China manufactures digital equipment that can be used in substations. Siemens has implemented the IEC 60870-5 Telecontrol Companion Standard 104 in the SIPROTEC Compact Feeder Protection 7SC80 product for communication with a controlling system. The IEC 60870-5 Telecontrol Companion Standard 104 (TCS104) can be used as a communication protocol for exchanging information between Control Center(s) (controlling station) and substations (controlled stations). The information exchanged can be for example measurands, status messages and commands.

DNV GL's assignment was to answer the following question:

"Is the Siemens IEC 60870-5-104 controlled station protocol implementation (IEC 104 Application software version 1.20 for the SIPROTEC Compact Feeder Protection 7SC80 conform to the IEC 60870-5-104 Companion Standard in Standard Direction and the EDP-Energias de Portugal Light Protocol Implementation Document for IEC 60870-5-104, version 1.1?"

To answer this question, DNV GL has performed a conformance test on the Siemens IEC 60870-5-104 controlled station protocol implementation in the SIPROTEC Compact Feeder Protection 7SC80.

1.2 Testing viewpoints

There are two common viewpoints for testing communication protocol implementations: *Conformance testing* and *Interoperability testing*.

The first testing viewpoint, *Conformance testing*, is the process of verifying that an implementation performs in accordance with a particular standard. A manufacturer may claim: "*my equipment conforms to standard ISO/IEC xxx-x*". Conformance testing enables such a claim to be investigated and assessed by an objective and independent institute, like DNV GL, to establish its validity. The type test may result in an Attestation of Conformity, guaranteed by DNV GL, for the tested implementation version in that equipment. DNV GL maintains a list of type-tested and approved equipment with IEC 60870-5 implementations (see www.dnvkema.com/pctc).

Conformance testing extends the normal conformance test process by adding negative and boundary test items to the testing process.

The second viewpoint, *Interoperability testing (IOP)*, shows whether or not a protocol implementation, installed in one product, can be used to exchange information with another product which has implemented the same protocol. No direct attention is paid to the implementation of the protocol itself. After completion of the tests, there is no guarantee that the protocol implementation is in accordance with that particular standard. It is clear, however, whether or not the protocol functions required in order to exchange information can work together to accomplish the required task.

This document describes the result of a Conformance test. Although a positive outcome of the test is a proof of independent verification (according to the applicable test procedures), it does not guarantee complete interoperability with other devices. Even when the same protocol options are implemented in two devices (see PICS), some further fine configuration may be necessary to guarantee complete interoperability.

1.3 Purpose of this document

The purpose of this document is to describe the results of the type test of the IEC 60870-5-104 implementation in the System Under Test (further SUT). The type test was executed at Siemens, China, from April 7th till April 11th 2015. The results will form the basis for an (potential) Attestation of Conformance. This Attestation is primarily of interest to product marketers and customers, as a proof of independent verification of minimized interoperability risks.

1.4 Contents of this document

Chapter 2 shows the list of relevant normative and other references, used to provide input for the type test.

Chapter 3 describes the various relevant components for the type test and their configuration as used in the conformance test, including the System Under Test. This chapter also gives an overview and introduction to the various test groups that together constitute the type test.

Chapter 4 and 5 give an overview and summary of the test results, the conclusion(s) and recommendations. The summary contains a **Defects** and a **Remarks** entry next to each group of test cases.

Appendix A specifies the detailed test cases and their outcome, appendix B contains detailed comments on test results, for instance when a defect is detected, including the actual message flow if appropriate.

1.5 Glossary

- SUT = System Under Test¹.
- PICS = Protocol Implementation Conformance Statement. A template document (IEC 60870-5 104 ed.2 Clause 9 – Interoperability) where all the basic characteristics of an IEC 60870-5-104 ed.2 implementation are stated
- PID = Protocol Implementation Document. A document issued by a manufacturer or system integrator where on top of the PICS template, additional detailed information regarding requirements and fine options is added.

¹ This can either be an embedded device or an industrial pc.

2 REFERENCES

2.1 Normative

The tests defined in this document are based on the following IEC (International Electrotechnical Committee) documents in the IEC 60870-5 range: Telecontrol equipment and systems part 5: Transmission protocols:

- 1 IEC 60870-5-1: TELECONTROL EQUIPMENT AND SYSTEMS, PART 5, Transmission protocols: Transmission Frame Formats, IS (International Standard), 1990, further referred to as [IEC5-1]
- 2 IEC 60870-5-2: TELECONTROL EQUIPMENT AND SYSTEMS, PART 5, Transmission protocols: Link Transmission Procedures, IS, 1992, further referred to as [IEC5-2]
- 3 IEC 60870-5-3: TELECONTROL EQUIPMENT AND SYSTEMS, PART 5, Transmission protocols: General Structure of Application Data, IS, 1992, further referred to as [IEC5-3]
- 4 IEC 60870-5-4: TELECONTROL EQUIPMENT AND SYSTEMS, PART 5, Transmission protocols: Definition and Coding of Application Information Elements, IS, 1993, further referred to as [IEC5-4]
- 5 IEC 60870-5-5: TELECONTROL EQUIPMENT AND SYSTEMS, PART 5, Transmission protocols: Basic Application Functions, IS, 1995, further referred to as [IEC5-5]
- 6 IEC 60870-5-101: TELECONTROL EQUIPMENT AND SYSTEMS, PART 5, Transmission protocols: Companion standard for basic Telecontrol tasks, IS, second edition 2003-02, further referred to as [IEC5-101].
- 7 IEC 60870-5-104: TELECONTROL EQUIPMENT AND SYSTEMS, PART 5, Transmission protocols: Network access for IEC 60870-5-101 using standard transport profiles, IS, second edition 2006-06, further referred to as [IEC5-104].
- 8 IEC 60870-5-604: Telecontrol equipment and systems, Part 5-604, Conformance test cases for the IEC 60870-5-104 Companion Standard, Edition 1.0, 2007-10.

2.2 Other

- 1 EDP-Energias de Portugal Light Protocol Implementation Document for IEC 60870-5-104, version 1.1.

3 INTRODUCTION

3.1 Components in the test environment

The test environment consists of the following components:

- the SUT: the SIPROTEC Compact Protection Feeder 7SC80 controlled station implementation featuring IEC 104 Application software version 1.20 running with the device firmware version V04.20.04
- the DNV GL *UnIECim 104* version 2.0.1 (2014) protocol test system and simulator, which runs the TCS104 simulator test suite version 1.43 and acts as a single-node Controlling station
- the DNV GL *UniECom 104* version 3.0.0 (2014) protocol test analyser
- RJ45 100 Mb cables
- a switch or hub.

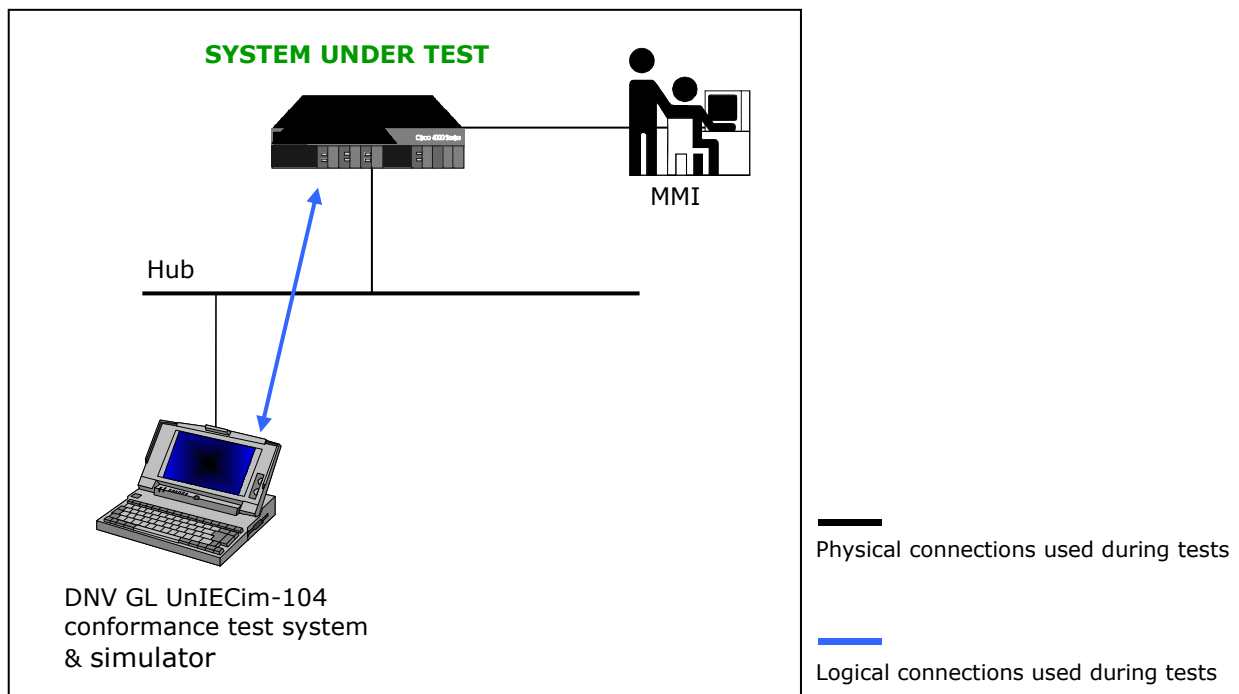


Figure 3-1 Connection and set up of the test environment

3.2 SUT requirements

Next to the CS104 communication capability specified in the PID, the SUT must support the following requirements for control and simulation purposes during testing, e.g. via additional test equipment attached to the SUT or one or more configured and running operator MMI stations:

- display the current values of the Information Elements described in I/O list, mapped to visible MMI-elements
- manually pause/freeze (or equivalent, e.g. extending timers) of the communication to verify displayed or analysed data
- manually shut down and restart or equivalent
- manually cut-off of the connection to the communication link
- manually activate the supported Basic Application Functions
- direct physical connection to the communication link.

3.3 SUT configuration

The configuration of the SUT is as follows:

- the telecontrol communication mode is balanced (by definition) peer-to-peer, capable of using a Wide Area TCP/IP network (see also figure 3.1)
- the configured IP addresses were:
 - SUT 192.168.0.11
 - Test system: 192.168.0.12
- common Address of ASDU (CAA) used during the test was 1
- further details of the implemented protocol (interoperability sheet) subset can be found at Chapter 0 Protocol Implementation Conformance Statement (PICS)
- the PICS forms the basis for the applicable test cases in the test plan in Appendix A.

3.4 UnIECim test system

The UnIECim IEC 60870-5 protocol test suite consists in DNV GL's test tools for testing IEC 60870-5 protocol implementations. The knowledge of the IEC 60870-5 protocol is in the software and it does support the test engineer.

UnIECim supports real-time data capturing, analysis and decoding, combined with construction of frames and real-time script execution for simulation of conforming (positive) as well as non-conforming (negative) communication functions. UnIECim automatically executes all scripts (test cases) in a so-called test suite.

UnIECim 104 is simulator and test system (active) for testing Controlling or Controlled station implementations based on the IEC 60870-5 Telecontrol Companion Standard 104 (TCS 104): network access for IEC 60870-5-101, including redundancy functionality.

UnIECom 104 is the analyser (passive) for testing Controlling or Controlled station implementations based on the IEC 60870-5 Telecontrol Companion Standard 104 (TCS 104): network access for IEC 60870-5-101, including redundancy functionality and showing lower layer (TCP, IP) decoded data.

3.5 Overview of the test suite

3.5.1 Tests on Transport provider level

For information exchange between both end systems a TCP/IP network is used. Tests in table A.1 verify that end systems can establish a TCP/IP connection, are able to exchange (CS104) messages and the TCP/IP connection doesn't fail permanently. White-box (internal) TCP/IP and lower tests are *not* performed. The tests are passed if no error is reported during a test session.

If relevant, redundant link tests are defined in Appendix A, table A.11.

3.5.2 Tests on application level

Most of the Application Service Data Units (ASDUs) tests defined in Appendix A are automatically performed by *UnIECim 104* and *UnIECom 104* on each received ASDU if applicable. The tests are passed if no defect is reported during the test session.

The Basic Application Functions (BAFs) tests defined in Appendix A are performed by a combination of automatic verification and manual expert analysis for each applicable test case. The tests are passed if no defect is found during the test session.



3.5.3 Negative tests

The Negative tests defined in Appendix A, table 24 are performed by a combination of automatic verification and manual expert analysis for each test case if applicable. The tests have passed if the SUT continues correct operation, that is: does not send corrupted frames and reacts in a correct and sensible manner.

The SUT may not fail permanently when receiving:

- corrupted frames
- illegal functions
- not supported functions
- not supported Basic Application Functions (BAF) or ASDU's.



4 TEST RESULTS

Appendix A provides a summary of the test results. Numbers shown in the table refer to test numbers of individual test cases in IEC 60870-5-604. If applicable, an end note describing a defect is added in appendix A.

Defects are a certain cause for operational risks: these **MUST** be corrected before going into an operational situation! They imply the overall test outcome to be **failed**.

Remarks introduce additional observations about the test case results, like limitations in the implementation or implementation choices.

The PICS in Chapter 0 is the basis for the applicable test cases in Appendix A. The PICS gives an overview of the tested protocol implementation, but this isn't a guarantee that the complete function or ASDU, as enabled in the PICS, is tested and supported. Partial testing is possible and the completeness of the tests for the specific function or ASDU must be consulted in Appendix A.

Table 1 Summary of the test results

Test group	Defects	Remarks	Verdict
0. Configuration parameters		-	Passed
1. Transport provider level		5.3.1.50 (2), 5.3.1.90	Passed with Remark
2. Data Unit Identifier			Passed
3. ASDUs for Process information in monitor (normal) direction		5.3.3.10, 5.3.3.30, 5.3.3.50, 5.3.3.130, 5.3.3.210, 5.3.3.230, 5.3.3.250, 5.3.3.370	Passed
4. ASDUs for Process information in control (normal) direction		5.3.4.1, 5.3.4.10, 5.3.4.20, 5.3.4.110	Passed
5. ASDUs for system information in monitor direction		5.3.5.1	Passed
6. ASDU for system information in control (normal) direction		-	Passed
7. ASDU for parameters in control (normal) direction		-	Passed
8. ASDU for file transfer in monitor and control direction		-	Passed
9. Data Unit Identifier		-	Passed
10. Information object address		-	Passed
11. Station initialisation		-	Passed
12. Redundant connection tests		-	N.A.
13. Cyclic data transmission		-	N.A.
14. Data acquisition through Read		-	N.A.
15. Acquisition of events		-	Passed
16. General interrogation		5.4.16.1	Passed
17. Clock synchronisation		-	Passed
18. Command transmission		5.4.18.70	Passed
19. Transmission of integrated totals		5.4.19.1	Passed
20. Parameter loading		-	Passed
21. Test procedure		-	Passed
22. File Transfer		-	Passed
23. Additional tests		-	Passed
24. Negative tests		5.4.24.1, 5.4.24.2	Passed with Remark
25. PIXIT related		5.4.25.100	Passed
TOTAL	0	22	Passed

* N/A = Not Applicable

5 CONCLUSION AND RECOMMENDATIONS

The assignment was to give a well-founded answer to the question:

"Does the Siemens IEC 60870-5-104 controlled station protocol implementation (IEC 104 Application software version 1.20 for the SIPROTEC Compact Feeder Protection 7SC80 conform to the IEC 60870-5-104 Companion Standard in Standard Direction and the EDP-Energias de Portugal Light Protocol Implementation, version 1.1?"

Based on the test results described in this report, DNV GL declares the tested Siemens CS104 controlled station implementation for the SIPROTEC Compact Protection Feeder, **in conformance** with the IEC 60870-5-104 standard [IEC 5-104] and the [PICS] for the tested configuration.

5.1 Exceptions with the [PICS]

There are no exceptions. All functionality is implemented and tested.

5.2 Remarks and Recommendations following from the test

The following comments and recommendations apply:

- K and W can be configured for values up to 255 only while maximum range is 32767. It is recommended to implement maximum range in order to allow transmitting as much frames as possible without confirmation for the case that big amount of information need to be sent from Controlled station.
- IV bit is supported only. NT bit can be set by configuration for Double Point information only. It is recommended to extend the use of quality bit to the maximum in order to provide the controlling station with the most reliable information.

6 PROTOCOL IMPLEMENTATION CONFORMANCE STATEMENT (PICS)

The Protocol Implementation Conformance Statement (PICS) in this paragraph is the basis for the applicable test cases in Appendix A. This PICS gives an overview of the tested protocol implementation, but this isn't a guarantee that the complete function or ASDU, as enabled in the PICS, is tested and supported. Partial testing is possible and the completeness of the tests for the specific function or ASDU must be consulted in Appendix A.

Note:

In addition, the full specification of a system may require individual selection of certain parameters for certain parts of the system, such as the individual selection of scaling factors for individually addressable measured values.

The selected parameters should be marked in the white boxes as follows:

- Function or ASDU is not used
- Function or ASDU is used as standardized (default)
- Function or ASDU is used in reverse mode
- Function or ASDU is used in standard and reverse mode

The possible selection (blank, X, R, or B) is specified for each specific clause or parameter.

A black check box indicates that the option cannot be selected in this companion standard.

6.1 System or device

(system-specific parameter, indicate definition of a system or a device by marking one of the following with 'X')

- System definition
- Controlling station definition
- Controlled station definition

6.2 Network configuration

(network-specific parameter, all configurations that are used are to be marked 'X')

- Point-to-point
- Multipoint-partyline
- Multiple-point-to-point
- Multipoint-star

6.3 Physical layer

(network-specific parameter, all interfaces and data rates that are used are to be marked 'X')

Transmission speed (control direction)

Unbalanced interchange Circuit V.24/V.28 Standard	Unbalanced interchange Circuit V.24/V.28 Recommended if >1 200bit/s	Balanced interchange Circuit X.24/X.27	
<input checked="" type="checkbox"/> 100 bit/s	<input checked="" type="checkbox"/> 2 400 bit/s	<input checked="" type="checkbox"/> 2 400 bit/s	<input checked="" type="checkbox"/> 56 000 bit/s
<input checked="" type="checkbox"/> 200 bit/s	<input checked="" type="checkbox"/> 4 800 bit/s	<input checked="" type="checkbox"/> 4 800 bit/s	<input checked="" type="checkbox"/> 56 000 bit/s

- 300 bit/s
- 600 bit/s
- 1 200 bit/s
- 9 600 bit/s
- 9 600 bit/s
- 19 200 bit/s
- 38 400 bit/s
- 64 000 bit/s

Transmission speed (monitor direction)

- | | | | |
|---|---|---|---------------------------------------|
| Unbalanced interchange
Circuit V.24/V.28
Standard | Unbalanced interchange
Circuit V.24/V.28
Recommended if >1 200bit/s | Balanced interchange
Circuit X.24/X.27 | |
| <input type="checkbox"/> 100 bit/s | <input type="checkbox"/> 2 400 bit/s | <input type="checkbox"/> 2 400 bit/s | <input type="checkbox"/> 56 000 bit/s |
| <input type="checkbox"/> 200 bit/s | <input type="checkbox"/> 4 800 bit/s | <input type="checkbox"/> 4 800 bit/s | <input type="checkbox"/> 56 000 bit/s |
| <input type="checkbox"/> 300 bit/s | <input type="checkbox"/> 9 600 bit/s | <input type="checkbox"/> 9 600 bit/s | <input type="checkbox"/> 64 000 bit/s |
| <input type="checkbox"/> 600 bit/s | | <input type="checkbox"/> 19 200 bit/s | |
| <input type="checkbox"/> 1 200 bit/s | | <input type="checkbox"/> 38 400 bit/s | |

6.4 Link layer

(network-specific parameter, all options that are used are to be marked 'X'. Specify the maximum frame length. If a non-standard assignment of class 2 messages is implemented for unbalanced transmission, indicate the Type ID and COT of all messages assigned to class 2.)

~~Frame format FT 1.2, single character 1 and the fixed time out interval are used exclusively in this companion standard.~~

Link transmission

- Balanced transmission
- Unbalanced transmission

Address field of the link

- not present (balanced transmission only)
- One octet
- Two octets
- Structured
- Unstructured

Frame length

- Maximum length L
(number of octets)

When using an unbalanced link layer, the following ASDU types are returned in class 2 messages (low priority) with the indicated causes of transmission:

- ~~The standard assignment of ASDUs to class 2 messages is used as follows:~~

Type identification	Cause of transmission
9, 11, 13, 21	<1>

A special assignment of ASDUs to class 2 messages is used as follows:

Type identification	Cause of transmission

Note: (In response to a class 2 poll, a controlled station may respond with class 1 data when there is no class 2 data available).

6.5 Application layer

Transmission mode for application data

Mode 1 (Least significant octet first), as defined in clause 4.10 of IEC 60870-5-4, is used exclusively in this companion standard.

Common address of ASDU

(system-specific parameter, all configurations that are used are to be marked 'X')

- One octet
 Two octets

Information object address

(system-specific parameter, all configurations that are used are to be marked 'X')

- One octet
 Structured
 Two octets
 Unstructured
 Three octets

Cause of transmission

(system-specific parameter, all configurations that are used are to be marked 'X')

- One octet
 Two octets (with originator address) Originator address is set to zero if not used

Length of APDU

(system-specific parameter, specify the maximum length of the APDU per system)

The maximum length of the APDU for both directions is 253. It is a fixed system parameter.

- Maximum length of APDU per system in control direction
- Maximum length of APDU per system in monitor direction

Selection of standard ASDUs

Process information in monitor direction

(station-specific parameter, mark each Type ID 'X' if it is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

<input checked="" type="checkbox"/> <1>	:= Single-point information	M_SP_NA_1
<input type="checkbox"/> <2>	:= Single-point information with time tag	M_SP_TA_1
<input checked="" type="checkbox"/> <3>	:= Double-point information	M_DP_NA_1
<input type="checkbox"/> <4>	:= Double-point information with time tag	M_DP_TA_1
<input type="checkbox"/> <5>	:= Step position information	M_ST_NA_1
<input type="checkbox"/> <6>	:= Step position information with time tag	M_ST_TA_1
<input type="checkbox"/> <7>	:= Bitstring of 32 bit	M_BO_NA_1
<input type="checkbox"/> <8>	:= Bitstring of 32 bit with time tag	M_BO_TA_1
<input type="checkbox"/> <9>	:= Measured value, normalized value	M_ME_NA_1
<input type="checkbox"/> <10>	:= Measured value, normalized value with time tag	M_ME_TA_1
<input type="checkbox"/> <11>	:= Measured value, scaled value	M_ME_NB_1
<input type="checkbox"/> <12>	:= Measured value, scaled value with time tag	M_ME_TB_1
<input checked="" type="checkbox"/> <13>	:= Measured value, short floating point value	M_ME_NC_1
<input type="checkbox"/> <14>	:= Measured value, short floating point value with time tag	M_ME_TC_1
<input type="checkbox"/> <15>	:= Integrated totals	M_IT_NA_1
<input type="checkbox"/> <16>	:= Integrated totals with time tag	M_IT_TA_1
<input type="checkbox"/> <17>	:= Event of protection equipment with time tag	M_EP_TA_1
<input type="checkbox"/> <18>	:= Packed start events of protection equipment with time tag	M_EP_TB_1
<input type="checkbox"/> <19>	:= Packed output circuit information of protection equipment with time tag	M_EP_TC_1
<input type="checkbox"/> <20>	:= Packed single-point information with status change detection	M_PS_NA_1
<input type="checkbox"/> <21>	:= Measured value, normalized value without quality descriptor	M_ME_ND_1

<input checked="" type="checkbox"/>	<30>	:= Single-point information with time tag CP56Time2a	M_SP_TB_1
<input checked="" type="checkbox"/>	<31>	:= Double-point information with time tag CP56Time2a	M_DP_TB_1
<input type="checkbox"/>	<32>	:= Step position information with time tag CP56Time2a	M_ST_TB_1
<input type="checkbox"/>	<33>	:= Bitstring of 32 bit with time tag CP56Time2a	M_BO_TB_1
<input type="checkbox"/>	<34>	:= Measured value, normalized value with time tag CP56Time2a	M_ME_TD_1
<input type="checkbox"/>	<35>	:= Measured value, scaled value with time tag CP56Time2a	M_ME_TE_1
<input checked="" type="checkbox"/>	<36>	:= Measured value, short floating point value with time tag CP56Time2a	M_ME_TF_1
<input checked="" type="checkbox"/>	<37>	:= Integrated totals with time tag CP56Time2a	M_IT_TB_1
<input type="checkbox"/>	<38>	:= Event of protection equipment with time tag CP56Time2a	M_EP_TD_1
<input type="checkbox"/>	<39>	:= Packed start events of protection equipment with time tag CP56Time2a	M_EP_TE_1
<input type="checkbox"/>	<40>	:= Packed output circuit information of protection equipment with time tag CP56Time2a	M_EP_TF_1

Either the ASDUs of the set <2>, <4>, <6>, <8>, <10>, <12>, <14>, <16>, <17>, <18>, <19> or of the set <30> – <40> are used.

Process information in control direction

(station-specific parameter, mark each Type ID 'X' if it is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

<input type="checkbox"/>	<45>	:= Single command	C_SC_NA_1
<input type="checkbox"/>	<46>	:= Double command	C_DC_NA_1
<input type="checkbox"/>	<47>	:= Regulating step command	C_RC_NA_1
<input type="checkbox"/>	<48>	:= Set point command, normalized value	C_SE_NA_1
<input type="checkbox"/>	<49>	:= Set point command, scaled value	C_SE_NB_1
<input type="checkbox"/>	<50>	:= Set point command, short floating point value	C_SE_NC_1
<input type="checkbox"/>	<51>	:= Bitstring of 32 bit	C_BO_NA_1
<input checked="" type="checkbox"/>	<58>	:= Single command with time tag CP56Time 2a	C_SC_TA_1
<input checked="" type="checkbox"/>	<59>	:= Double command with time tag CP56Time 2a	C_DC_TA_1
<input type="checkbox"/>	<60>	:= Regulating step command with time tag CP56Time 2a	C_RC_TA_1
<input type="checkbox"/>	<61>	:= Set point command, normalized value with time tag CP56Time 2a	C_SE_TA_1
<input type="checkbox"/>	<62>	:= Set point command, scaled value with time tag CP56Time 2a	C_SE_TB_1

<input checked="" type="checkbox"/> <63>	:= Set point command, short floating point value with time tag CP56Time2	C_SE_TC_1
<input type="checkbox"/> <64>	:= Bitstring of 32 bit with time tag CP56Time 2a	C_BO_TA_1

Either the ASDUs of the set <45> – <51> or of the set <58> – <64> are used.

System information in monitor direction

(station-specific parameter, mark 'X' if used)

<input checked="" type="checkbox"/> <70>	:= End of initialization	M_EI_NA_1
--	--------------------------	-----------

System information in control direction

(station-specific parameter, mark each Type ID 'X' if it is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

<input checked="" type="checkbox"/> <100>:=	Interrogation command	C_IC_NA_1
<input checked="" type="checkbox"/> <101>:=	Counter interrogation command	C_CI_NA_1
<input type="checkbox"/> <102>:=	Read command	C_RD_NA_1
<input checked="" type="checkbox"/> <103>:=	Clock synchronization command	C_CS_NA_1
<input type="checkbox"/> <104>:=	Test command	C_TS_NA_1
<input checked="" type="checkbox"/> <105>:=	Reset process command	C_RP_NA_1
<input type="checkbox"/> <106>:=	Delay acquisition command	C_CD_NA_1
<input checked="" type="checkbox"/> <107>:=	Test command with time tag CP56time2a	C_TS_TA_1

Parameter in control direction

(station-specific parameter, mark each Type ID 'X' if it is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

<input type="checkbox"/> <110>:=	Parameter of measured value, normalized value	P_ME_NA_1
<input type="checkbox"/> <111>:=	Parameter of measured value, scaled value	P_ME_NB_1
<input checked="" type="checkbox"/> <112>:=	Parameter of measured value, short floating point value	P_ME_NC_1
<input type="checkbox"/> <113>:=	Parameter activation	P_AC_NA_1

File Transfer

(station-specific parameter, mark each Type ID 'X' if it is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

<input checked="" type="checkbox"/> <120>:=	File ready	F_FR_NA_1
<input checked="" type="checkbox"/> <121>:=	Section ready	F_SR_NA_1
<input checked="" type="checkbox"/> <122>:=	Call directory, select file, call file, call section	F_SC_NA_1
<input checked="" type="checkbox"/> <123>:=	Last section, last segment	F_LS_NA_1

<input checked="" type="checkbox"/>	<124>:=	Ack file, ack section	F_AF_NA_1
<input checked="" type="checkbox"/>	<125>:=	Segment	F_SG_NA_1
<input checked="" type="checkbox"/>	<126>:=	Directory {blank or X, only available in monitor (standard) direction}	F_DR_TA_1
<input type="checkbox"/>	<127>:=	Query log – Request archive file	F_SC_NB_1

Type Identifier and Cause of Transmission Assignments

(station-specific parameters)

Shaded boxes are not required.

Black boxes are not permitted in this companion standard.

Blank = Function or ASDU is not used.

Mark Type Identification/Cause of transmission combinations:

'X' if only used in the standard direction

'R' if only used in the reverse direction

'B' if used in both directions.

Type Identification		Cause of transmission																		
		1	2	3	4	5	6	7	8	9	10	11	12	13	20 to 36	37 to 41	44	45	46	47
<1>	M_SP_NA_1														X					
<2>	M_SP_TA_1																			
<3>	M_DP_NA_1														X					
<4>	M_DP_TA_1																			
<5>	M_ST_NA_1																			
<6>	M_ST_TA_1																			
<7>	M_BO_NA_1																			
<8>	M_BO_TA_1																			
<9>	M_ME_NA_1																			
<10>	M_ME_TA_1																			
<11>	M_ME_NB_1																			
<12>	M_ME_TB_1																			
<13>	M_ME_NC_1														X					
<14>	M_ME_TC_1																			
<15>	M_IT_NA_1																			
<16>	M_IT_TA_1																			
<17>	M_EP_TA_1																			
<18>	M_EP_TB_1																			
<19>	M_EP_TC_1																			
<20>	M_PS_NA_1																			
<21>	M_ME_ND_1																			
<30>	M_SP_TB_1			X								X	X							
<31>	M_DP_TB_1			X								X	X							
<32>	M_ST_TB_1																			
<33>	M_BO_TB_1																			
<34>	M_ME_TD_1																			
<35>	M_ME_TE_1																			
<36>	M_ME_TF_1			X																
<37>	M_IT_TB_1			X																
<38>	M_EP_TD_1																			
<39>	M_EP_TE_1																			
<40>	M_EP_TF_1																			
<45>	C_SC_NA_1																			
<46>	C_DC_NA_1																			
<47>	C_RC_NA_1																			
<48>	C_SE_NA_1																			
<49>	C_SE_NB_1																			

Type Identification		Cause of transmission																		
		1	2	3	4	5	6	7	8	9	10	11	12	13	20 to 36	37 to 41	44	45	46	47
<50>	C_SE_NC_1																			
<51>	C_BO_NA_1																			
<58>	C_SC_TA_1						X	X	X	X	X						X	X	X	X
<59>	C_DC_TA_1						X	X	X	X	X						X	X	X	X
<60>	C_RC_TA_1																			
<61>	C_SE_TA_1																			
<62>	C_SE_TB_1																			
<63>	C_SE_TC_1						X	X	X	X	X						X	X	X	X
<64>	C_BO_TA_1																			
<70>	M_EI_NA_1				X															
<100>	C_IC_NA_1						X	X			X						X	X	X	X
<101>	C_CI_NA_1						X	X			X						X	X	X	X
<102>	C_RD_NA_1																			
<103>	C_CS_NA_1						X	X									X	X	X	X
<104>	C_TS_NA_1																			
<105>	C_RP_NA_1*)						X	X										X	X	X
<106>	C_CD_NA_1																			
<107>	C_TS_TA_1						X	X									X	X	X	X
<110>	P_ME_NA_1																			
<111>	P_ME_NB_1																			
<112>	P_ME_NC_1						X	X									X	X	X	X
<113>	P_AC_NA_1																			
<120>	F_FR_NA_1													X			X	X	X	X
<121>	F_SR_NA_1													X			X	X	X	X
<122>	F_SC_NA_1					X								X			X	X	X	X
<123>	F_LS_NA_1													X			X	X	X	X
<124>	F_AF_NA_1													X			X	X	X	X
<125>	F_SG_NA_1													X			X	X	X	X
<126>	F_DR_TA_1*)			X		X														
<127>	F_SC_NB_1*)																			

*) blank or X only

Basic application functions

Station initialization

(station-specific parameter, mark 'X' if function is used)

Remote initialization

Cyclic data transmission

(station-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

Cyclic data transmission

Read procedure

(station-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

Read procedure

Spontaneous transmission

(station-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

Spontaneous transmission

Double transmission of information objects with cause of transmission spontaneous

(station-specific parameter, mark each information type 'X' where both a Type ID without time and corresponding Type ID with time are issued in response to a single spontaneous change of a monitored object).

The following type identifications may be transmitted in succession caused by a single status change of an information object. The particular information object addresses for which double transmission is enabled are defined in a project-specific list.

Single-point information M_SP_NA_1, M_SP_TA_1, M_SP_TB_1 and M_PS_NA_1

Double-point information M_DP_NA_1, M_DP_TA_1 and M_DP_TB_1

Step position information M_ST_NA_1, M_ST_TA_1 and M_ST_TB_1

Bitstring of 32 bit M_BO_NA_1, M_BO_TA_1 and M_BO_TB_1 (if defined for a specific project)

Measured value, normalized value M_ME_NA_1, M_ME_TA_1, M_ME_ND_1 and M_ME_TD_1

Measured value, scaled value M_ME_NB_1, M_ME_TB_1 and M_ME_TE_1

Measured value, short floating point number M_ME_NC_1, M_ME_TC_1 and M_ME_TF_1

Station interrogation

(station-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- | | | |
|--|-----------------------------------|-----------------------------------|
| <input checked="" type="checkbox"/> global | | |
| <input type="checkbox"/> group 1 | <input type="checkbox"/> group 7 | <input type="checkbox"/> group 13 |
| <input type="checkbox"/> group 2 | <input type="checkbox"/> group 8 | <input type="checkbox"/> group 14 |
| <input type="checkbox"/> group 3 | <input type="checkbox"/> group 9 | <input type="checkbox"/> group 15 |
| <input type="checkbox"/> group 4 | <input type="checkbox"/> group 10 | <input type="checkbox"/> group 16 |
| <input type="checkbox"/> group 5 | <input type="checkbox"/> group 11 | |
| <input type="checkbox"/> group 6 | <input type="checkbox"/> group 12 | |

Information Object Addresses assigned to each group must be shown in a separate table

Clock synchronization

(station-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- Clock synchronization
- Day of week used
- RES1, GEN (Time tag substituted/not substituted) used
- SU-bit (summertime) used

Optional, see clause 7.6.

Command transmission

(object-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- Direct command
- Direct set point command transmission
- Select and execute command (Only for the commands without timetag)
- Select and execute set point command (Only for the commands without timetag)
- C_SE ACTTERM used

- No additional definition
- Short pulse duration (duration determined by a system parameter in the outstation)
- Long pulse duration (duration determined by a system parameter in the outstation)
- Persistent output

- Supervision of maximum delay in command direction of commands and set point
- Configurable** Maximum allowable delay of commands and set point

Transmission of integrated totals

(object-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- Mode A: Local freeze with spontaneous
- Mode B: Local freeze with counter
- Mode C: Freeze and transmit by counter interrogation
- Mode D: Freeze by counter interrogation command, frozen values reported

- Counter read
- Counter freeze without reset
- Counter freeze with reset
- Counter reset

- General request
- Request counter group 1
- Request counter group 2
- Request counter group 3
- Request counter group 4

Parameter loading

(object-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- Threshold value
- Smoothing factor
- Low limit for transmission of measured
- High limit for transmission of measured

Parameter activation

(object-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- Act/deact of persistent cyclic or periodic transmission of the addressed

Test procedure

(object-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- Test procedure

File transfer

(station-specific parameter, mark 'X' if function is used)

File transfer in monitor direction

- Transparent file
- Transmission of disturbance data of protection
- Transmission of sequences of events
- Transmission of sequences of recorded analogue values

File transfer in control direction

- Transparent file

Background scan

(object-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- Background scan

Acquisition of transmission delay

(object-specific parameter, mark 'X' if function is only used in the standard direction, 'R' if only used in the reverse direction, and 'B' if used in both directions)

- Acquisition of transmission delay

Definition of time outs

Parameter	Default value	Remarks	Selected value
t_0	30s	Time out of connection establishment	N.A.
t_1	15s	Time out of send or test APDUs	Configurable
t_2	10s	Time out for acknowledges in case of no data messages $t_2 < t_1$	Configurable
t_3	20s	Time out for sending test frames in case of a long idle state	Configurable

Maximum range for all timeouts: 1s to 255s, accuracy 1s

Maximum number of outstanding I format APDUs k and latest acknowledge

Parameter	Default value	Remarks	Selected value
k	12 APDUs	Maximum difference receive sequence number to send state variable	Configurable
w	8 APDUs	Latest acknowledge after receiving w I-format APDUs	Configurable

Maximum range of values k: 1 to 32767 ($2^{15}-1$)² APDUs, accuracy 1 APDU

Maximum range of values w: 1 to 32767 ($2^{15}-1$)³ APDUs, accuracy 1 APDU (Recommendation: w should not exceed 2/3 of k).

Port number

Parameter	Value	Remarks
Port number	2404	In all cases

RFC 2200 suite

RFC 2200 is an official Internet Standard which describes the state of standardization of protocols used in the Internet as determined by the Internet Architecture Board (IAB). It offers a broad spectrum of actual standards used in the Internet. The suitable selection of documents from RFC 2200 defined in this standard for given projects has to be chosen by the user of this standard.

- Ethernet 802.3
- Serial X.21 interface
- Other selection from RFC 2200: GPRS

List of valid documents from RFC 2200

- 1
- 2
- 3
- 4
- 5
- 6
- etc.

APPENDIX A

Test Results Chart

	Record the Conformance Test Procedure result for each of the supported configuration parameter values on the right	Station Type		Direction	
		Controlling station	Controlled station	Normal Direction	Reversed Direction
	✓.....indicates the Test Procedure PASSED for that configuration value. FAIL.....indicates Test Procedure failed for at least one of the Test Cases. N.A.....indicates that configuration value is not supported by the device. Empty.....indicates the Test Procedure was not performed. (There should be no empty boxes when testing is complete).				
Frame length	5.2.0.1 Maximum length L (control direction)	N.A.	✓	✓	N.A.
	5.2.0.2 Maximum length L (monitor direction)	N.A.	✓	✓	N.A.
Common Address of ASDU	5.2.0.70 Two (2) octets for Common Address of ASDU (CASDU)	N.A.	✓	✓	N.A.
Information Object Address	5.2.0.80 Three (3) octets for Information Object Address (structured or unstructured)	N.A.	✓	✓	N.A.
Cause of Transmission	5.2.0.90 Two (2) octets for COT field (2nd octet is Originator address)	N.A.	✓	✓	N.A.
Tests on Transport Provider Level	5.3.1.1 IP Frame	N.A.	✓	✓	N.A.
	5.3.1.3 TCP Frame	N.A.	✓	✓	N.A.
	5.3.1.10 CS104 Frame Layout	N.A.	✓	✓	N.A.
	5.3.1.20 CS104 I-Format APDU	N.A.	✓	✓	N.A.
	5.3.1.25 CS104 S-Format APDU	N.A.	✓	✓	N.A.
	5.3.1.30 CS104 U-Format APDU	N.A.	✓	✓	N.A.
	5.3.1.50 Transmission Procedure	N.A.	✓	✓ ^{3,4}	N.A.
	5.3.1.70 Transmission Control Using START/STOP	N.A.	✓	✓	N.A.
Verification of Data Unit Identifier	5.3.1.90 Time Out Intervals	N.A.	✓	✓ ⁵	N.A.
	5.3.2.1 Type Identification	N.A.	✓	✓	N.A.
Verification of	5.3.2.10 Cause of Transmission	N.A.	✓	✓	N.A.
	5.3.3.10 ASDU 1 Single-point Information	N.A.	✓	✓ ⁶	N.A.

³ The tested combinations of values for **k** and **w** are respectively: 16/12, 12/8 and 6/4

⁴ REMARK: The DUT is able to configure values up to 255 for K and W.

⁵ The tested values for the timeouts are: t_1 : 10, 15, 20 [s], t_2 : 8, 10, 15 [s], t_3 : 20, 25, 30 [s]

⁶ IV bit is implemented only.

	Record the Conformance Test Procedure result for each of the supported configuration parameter values on the right	Station Type		Direction	
		Controlling station	Controlled station	Normal Direction	Reversed Direction
	<p>√.....indicates the Test Procedure PASSED for that configuration value. FAIL.....indicates Test Procedure failed for at least one of the Test Cases. N.A.....indicates that configuration value is not supported by the device. Empty.....indicates the Test Procedure was not performed. (There should be no empty boxes when testing is complete).</p>				
ASDUs	5.3.3.30 ASDU 3 Double-point Information	N.A.	√	√ ⁷	N.A.
	5.3.3.50 ASDU 5 Step-position Information	N.A.	N.A.	N.A. ₈	N.A.
	5.3.3.70 ASDU 7 Bitstring of 32 bit	N.A.	N.A.	N.A.	N.A.
	5.3.3.90 ASDU 9 Measured value, normalised value	N.A.	N.A.	N.A.	N.A.
	5.3.3.110 ASDU 11 Measured value, scaled value	N.A.	N.A.	N.A.	N.A.
	5.3.3.130 ASDU 13 Measured value, short floating point number	N.A.	√	√ ⁶	N.A.
	5.3.3.150 ASDU 15 Integrated Totals	N.A.	N.A.	N.A.	N.A.
	5.3.3.170 ASDU 20 Packed single-point information with status change detection	N.A.	N.A.	N.A.	N.A.
	5.3.3.190 ASDU 21 Measured value, normalised value without quality descriptor	N.A.	N.A.	N.A.	N.A.
	5.3.3.210 ASDU 30 Single-point information with time tag CP56Time2a	N.A.	√	√ ⁶	N.A.
	5.3.3.230 ASDU 31 Double-point information with time tag CP56Time2a	N.A.	√	√ ⁷	N.A.
	5.3.3.250 ASDU 32 Step-position information with time-tag CP56Time2a	N.A.	N.A.	N.A. ₈	N.A.
	5.3.3.280 ASDU 33 Bitstring of 32 bit with time-tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
	5.3.3.310 ASDU 34 Measured value, normalised value with time-tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
	5.3.3.340 ASDU 35 Measured value, scaled value with time-tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
	5.3.3.370 ASDU 36 Measured value, short floating point number with time-tag CP56Time2a	N.A.	√	√ ⁶	N.A.
	5.3.3.400 ASDU 37 Integrated totals with time tag CP56Time2a	N.A.	√	√	N.A.
	5.3.3.430 ASDU 38 Event of protection equipment with time-tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
	5.3.3.460 ASDU 39 Packed start events of protection equipment with time-tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
	5.3.3.490 ASDU 40 Packet output circuit information of protection equipment with time tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
5.3.4.1 ASDU 45 Single Command	N.A.	N.A.	N.A. ₈	N.A.	
5.3.4.10 ASDU 46 Double Command	N.A.	N.A.	N.A. ₈	N.A.	

⁷ IV and NT bits are implemented only.

⁸ This ASDU is supported although it is not required in the PID.

	Record the Conformance Test Procedure result for each of the supported configuration parameter values on the right	Station Type		Direction	
		Controlling station	Controlled station	Normal Direction	Reversed Direction
	√.....indicates the Test Procedure PASSED for that configuration value. FAIL.....indicates Test Procedure failed for at least one of the Test Cases. N.A.....indicates that configuration value is not supported by the device. Empty.....indicates the Test Procedure was not performed. (There should be no empty boxes when testing is complete).				
	5.3.4.20 ASDU 47 Regulating step command	N.A.	N.A.	N.A. ⁸	N.A.
	5.3.4.30 ASDU 48 Set point command, normalised value	N.A.	N.A.	N.A.	N.A.
	5.3.4.40 ASDU 49 Set point command, scaled value	N.A.	N.A.	N.A.	N.A.
	5.3.4.50 ASDU 50 Set point command, short floating point value	N.A.	N.A.	N.A.	N.A.
	5.3.4.60 ASDU 51 Bitstring of 32 bits	N.A.	N.A.	N.A.	N.A.
	5.3.4.70 ASDU 58 Single command with time tag CP56Time2a	N.A.	√	√	N.A.
	5.3.4.90 ASDU 59 Double command with time tag CP56Time2a	N.A.	√	√	N.A.
	5.3.4.110 ASDU 60 Regulating step command with time tag CP56Time2a	N.A.	N.A.	N.A. ⁸	N.A.
	5.3.4.130 ASDU 61 Set point command, normalised value with time tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
	5.3.4.150 ASDU 62 Set point command, scaled value with time tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
	5.3.4.170 ASDU 63 Set point command, short floating point value with time tag CP56Time2a	N.A.	√	√	N.A.
	5.3.4.190 ASDU 64 Bitstring of 32 bits with time tag CP56Time2a	N.A.	N.A.	N.A.	N.A.
	5.3.5.1 ASDU 70 End of Initialisation	N.A.	√	√ ⁹	N.A.
	5.3.6.1 ASDU 100 Interrogation command	N.A.	√	√	N.A.
	5.3.6.10 ASDU 101 Counter interrogation command	N.A.	√	√	N.A.
	5.3.6.20 ASDU 102 Read command	N.A.	N.A.	N.A.	N.A.
	5.3.6.30 ASDU 103 Clock synchronisation command	N.A.	√	√	N.A.
	5.3.6.60 ASDU 105 Reset process command	N.A.	√	√	N.A.
	5.3.7.70 ASDU 107 Test command with time tag CP56Time2a	N.A.	√	√	N.A.
	5.3.7.1 ASDU 110 Parameter of measured value, normalised value	N.A.	N.A.	N.A.	N.A.
	5.3.7.10 ASDU 111 Parameter of measured values, scaled value	N.A.	N.A.	N.A.	N.A.
	5.3.7.20 ASDU 112 Parameter of measured values, short floating point number	N.A.	√	√	N.A.
	5.3.7.30 ASDU 113 Parameter activation	N.A.	N.A.	N.A.	N.A.
	5.3.8.1 ASDU 120 File ready	N.A.	√	√	N.A.
	5.3.8.10 ASDU 121 Section ready	N.A.	√	√	N.A.
	5.3.8.30 ASDU 122 Call directory, select file, call file, call section	N.A.	√	√	N.A.

⁹ Supported and tested COIs: UI=0, 2, BS=0

	Record the Conformance Test Procedure result for each of the supported configuration parameter values on the right	Station Type		Direction	
		Controlling station	Controlled station	Normal Direction	Reversed Direction
	√.....indicates the Test Procedure PASSED for that configuration value. FAIL.....indicates Test Procedure failed for at least one of the Test Cases. N.A.....indicates that configuration value is not supported by the device. Empty.....indicates the Test Procedure was not performed. (There should be no empty boxes when testing is complete).				
	5.3.8.40 ASDU 123 Last section, last segment	N.A.	√	√	N.A.
	5.3.8.50 ASDU 124 ACK file, ACK section	N.A.	√	√	N.A.
	5.3.8.60 ASDU 125 Segment	N.A.	√	√	N.A.
	5.3.8.70 ASDU 126 Directory	N.A.	√	√	N.A.
Data Unit Identifier	5.4.9.1 Type Identification	N.A.	√	√	N.A.
	5.4.9.4 Cause Of Transmission	N.A.	√	√	N.A.
	5.4.9.10 Common Address of ASDU	N.A.	√	√	N.A.
Information object address	5.4.10.1 Object Address	N.A.	√	√	N.A.
Station initialisation function	5.4.11.1 Local Initialisation of the Controlling station: (re-)boot	N.A.	N.A.	N.A.	N.A.
	5.4.11.10 Local initialisation of the Controlled station: (re-)boot	N.A.	√	√	N.A.
	5.4.11.20 Remote initialisation of the Controlled station	N.A.	√	√	N.A.
	5.4.11.30 Re-establishing a lost Started connection between the Controlling and the Controlled station when no other connections are available	N.A.	√	√	N.A.
	5.4.11.40 Compatibility With Other Test Cases	N.A.	√	√	N.A.
Redundant Link	5.4.12.1 Periodic check of ALL redundant connections	N.A.	N.A.	N.A.	N.A.
	5.4.12.10 Re-establishing a lost Started connection between the Controlling and the Controlled station when redundant connections are available: (automatic switch-over)	N.A.	N.A.	N.A.	N.A.
	5.4.12.20 Re-establishing a lost redundant connection between the Controlling and the Controlled station	N.A.	N.A.	N.A.	N.A.
	5.4.12.30 Manual switching over the Started connection to another redundant Stopped connection: (manual switch-over)	N.A.	N.A.	N.A.	N.A.
Cyclic data transmission function	5.4.13.1 Cyclic data transmission and Background Scan – sequential procedure	N.A.	N.A.	N.A.	N.A.
	5.4.13.10 Compatibility With Other Test Cases	N.A.	N.A.	N.A.	N.A.
Data acquisition through Read function	5.4.14.1 Data acquisition through Read - sequential procedure	N.A.	N.A.	N.A.	N.A.
	5.4.14.10 Compatibility With Other Test Cases	N.A.	N.A.	N.A.	N.A.
Acquisition of events function	5.4.15.1 Acquisition of events -sequential procedure	N.A.	√	√	N.A.
	5.4.15.10 Compatibility With Other Test Cases	N.A.	√	√	N.A.

	Record the Conformance Test Procedure result for each of the supported configuration parameter values on the right	Station Type		Direction	
		Controlling station	Controlled station	Normal Direction	Reversed Direction
	√.....indicates the Test Procedure PASSED for that configuration value. FAIL.....indicates Test Procedure failed for at least one of the Test Cases. N.A.....indicates that configuration value is not supported by the device. Empty.....indicates the Test Procedure was not performed. (There should be no empty boxes when testing is complete).				
General interrogation function	5.4.16.1 Outstation interrogation - one Logical Remote Unit (LRU) available in the controlled station	N.A.	√	√ ¹⁰	N.A.
	5.4.16.10 Outstation interrogation - more than one Logical Remote Unit (LRU) available in the controlled station	N.A.	N.A.	N.A.	N.A.
	5.4.16.20 Re-activate a running Outstation interrogation - Option 1: the running GI continues.	N.A.	N.A.	N.A.	N.A.
	5.4.16.30 Re-activate a running Outstation interrogation Option 2: the running GI is stopped and the second GI is started.	N.A.	√	√	N.A.
	5.4.16.40 Re-activate a running Outstation interrogation Option 3: the running GI continues and after activation termination (COT=10) the second GI is started. (Option 3 can be described as undesirable behaviour!!)	N.A.	N.A.	N.A.	N.A.
	5.4.16.50 Deactivate a running Outstation interrogation	N.A.	N.A.	N.A.	N.A.
	5.4.16.60 Compatibility With Other Test Cases	N.A.	√	√	N.A.
Clock synchronisation function	5.4.17.1 Clock synchronisation -sequential procedure	N.A.	√	√	N.A.
	5.4.17.10 Clock synchronisation – Change the clock	N.A.	√	√	N.A.
	5.4.17.20 Compatibility With Other Test Cases	N.A.	√	√	N.A.
Command transmission function	5.4.18.1 Select & Execute	N.A.	√	√	N.A.
	5.4.18.10 Select & Deactivation	N.A.	√	√	N.A.
	5.4.18.20 Direct Execute	N.A.	√	√	N.A.
	5.4.18.30 Select with Negative Confirmation by Controlled station (Abort)	N.A.	√	√	N.A.
	5.4.18.40 Select with Negative Execute Confirmation by Controlled station if Execute is received after configured delay in the controlling station	N.A.	√	√	N.A.
	5.4.18.50 Direct Execute with Negative Confirmation by Controlled station	N.A.	√	√	N.A.
	5.4.18.60 Command transmission with network delay supervision - sequential procedure: Command received WITHIN configured delay	N.A.	√	√	N.A.
	5.4.18.70 Command transmission with network delay supervision - sequential procedure: Command received AFTER configured delay	N.A.	√	√ ¹¹	N.A.

¹⁰ SUT accepts both Unicast and Broadcast (#FFFF) GI in normal direction.

¹¹ Interval configurable between 1 and 65 [s] applied both for timetags in the past (command AFTER a configured delay). Commands with arriving after a configured delay are not replied but ignored.

	Record the Conformance Test Procedure result for each of the supported configuration parameter values on the right	Station Type		Direction	
		Controlling station	Controlled station	Normal Direction	Reversed Direction
	√.....indicates the Test Procedure PASSED for that configuration value. FAIL.....indicates Test Procedure failed for at least one of the Test Cases. N.A.....indicates that configuration value is not supported by the device. Empty.....indicates the Test Procedure was not performed. (There should be no empty boxes when testing is complete).				
	5.4.18.80 Test for all supported ASDU's	N.A.	√	√	N.A.
	5.4.18.90 Compatibility With Other Test Cases	N.A.	√	√	N.A.
Transmission of integrated totals (telecounting) function	5.4.19.1 Mode A - Local freeze with spontaneous transmission	N.A.	√	√ ¹²	N.A.
	5.4.19.10 Mode B - Local freeze with Counter Interrogation	N.A.	N.A.	N.A.	N.A.
	5.4.19.20 Mode C - Remote initiated freeze with Counter Interrogation	N.A.	N.A.	N.A.	N.A.
	5.4.19.30 Mode D - Remote initiated freeze with spontaneous transmission	N.A.	√	√	N.A.
	5.4.19.40 Compatibility With Other Test Cases	N.A.	√	√	N.A.
Parameter loading function	5.4.20.1 Load and activate parameter	N.A.	√	√	N.A.
	5.4.20.10 Load and activate parameter with Negative Confirmation by Controlled station	N.A.	√	√	N.A.
	5.4.20.20 Compatibility With Other Test Cases	N.A.	√	√	N.A.
Test procedure function	5.4.21.1 Test procedure - sequential procedures	N.A.	√	√	N.A.
	5.4.21.10 Compatibility With Other Test Cases	N.A.	√	√	N.A.
File transfer procedure function	5.4.22.1 File transfer procedure (monitor direction) - sequential procedure	N.A.	√	√	N.A.
	5.4.22.10 File transfer procedure (control direction) - sequential procedure	N.A.	√	√	N.A.
	5.4.22.20 Compatibility With Other Test Cases	N.A.	√	√	N.A.
Additional Conformance Test Procedures	5.4.23.1 Out of service behaviour	N.A.	√	√	N.A.
	5.4.23.10 Miscellaneous	N.A.	√	√	N.A.
	5.4.23.20 Time invalid	N.A.	N.A.	N.A.	N.A.
	5.4.23.30 Compatibility With Other Test Cases	N.A.	√	√	N.A.
Negative Conformance Test Procedures	5.4.24.1 TCP connection with unknown IP address s	N.A.	√	√ ¹³	N.A.
	5.4.24.2 Quality descriptor	N.A.	√	√ ¹⁴	N.A.
	5.4.24.3 Command transmission	N.A.	√	√	N.A.
	5.4.24.4 Summer time	N.A.	√	√	N.A.
	5.4.24.50 Compatibility With Other Test Cases	N.A.	√	√	N.A.

¹² Tested for configured intervals of 1, 5 and 30 minutes.

¹³ For the incoming TCP connections, SUT can be configured in two modes: in one mode it accepts connections by any IP address, whereas in the second mode up to 2 IP addresses can be enabled for connection

¹⁴ REMARK: ASDUs for Process information in monitor (normal) direction, IV is supported only. NT bit can be forced by configuration just for double point information.

	Record the Conformance Test Procedure result for each of the supported configuration parameter values on the right	Station Type		Direction	
		Controlling station	Controlled station	Normal Direction	Reversed Direction
	✓.....indicates the Test Procedure PASSED for that configuration value. FAIL.....indicates Test Procedure failed for at least one of the Test Cases. N.A.....indicates that configuration value is not supported by the device. Empty.....indicates the Test Procedure was not performed. (There should be no empty boxes when testing is complete).				
PIXIT related Conformance Test Procedures	5.4.25.1 Function: Parameter loading	N.A.	✓	✓	N.A.
	5.4.25.50 Function: Multiple commands	N.A.	✓	✓	N.A.
	5.4.25.100 Function: Buffer overflow	N.A.	✓	✓ ¹⁵	N.A.

¹⁵ Buffer size is configurable. Tested for 10, 20 and 100 elements

APPENDIX B-1

Test Results of Single Command Transmission

TEST RESULTS OF THE SINGLE COMMAND (SCO)		ACTCONpos=Positive Activation Confirmation ACTCONneg=Negative Activation Confirmation DEACTCONpos=Deactivation Confirmation positive ACTTERM=Activation Termination If ACTTERM is stated in row 'message from the RTU', ACTCONpos with S/E=0 execute has been received before. In case of a S+E command also ACTCONpos with S/E=1 select has been received before the ACT with S/E=0!				
'√' = tested '-' = not tested Detailed information on enclosures per Command type. The data link services are not shown in the details, only the command ASDUs. Each IOA could be configured S/E or only E. S+E on/off = Select & Execute command on/off S & D = Select & Deactivate command on/off E on/off = Direct Execute command on/off		NOTE: this table shows the only correct behaviour. Other behaviour means the test failed!				
ASDU type = 58	S+E on	S+E off	S+D on	S+D off	E on	E off
QU=0 (no add. def.)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	√	√	√	√	√	√
Log file available (Y/N)?	Y	Y	Y	Y	Y	Y
QU=1 (short pulse)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	√	√	√	√	√	√
Log file available (Y/N)?	Y	Y	Y	Y	Y	Y
QU=2 (long pulse)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	√	√	√	√	√	√
Log file available (Y/N)?	Y	Y	Y	Y	Y	Y

QU=3 (persistent)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	√	√	√	√	√	√
Log file available (Y/N)?	Y	Y	Y	Y	Y	Y
General remarks	• √					

APPENDIX B-2

Test Results of Double Command Transmission

TEST RESULTS OF THE DOUBLE COMMAND (DCO)		ACTCONpos=Positive Activation Confirmation ACTCONneg=Negative Activation Confirmation DEACTCONpos=Deactivation Confirmation positive ACTTERM=Activation Termination If ACTTERM is stated in row 'message from the RTU', ACTCONpos with S/E=0 execute has been received before. In case of a S+E command also ACTCONpos with S/E=1 select has been received before the ACT with S/E=0!				
'√' = tested '-' = not tested Detailed information on enclosures per Command type. The datalink services are not shown in the details, only the command ASDUs. Each IOA could be configured S/E or only E. S+E on/off = Select & Execute command on/off S & D = Select & Deactivate command on/off E on/off = Direct Execute command on/off		NOTE: this table shows the only correct behaviour. Other behaviour means the test failed!				
ASDU type = 59	S+E on	S+E off	S+D on	S+D off	E on	E off
QU=0 (no add. def.)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	√	√	√	√	√	√
Log file available (Y/N)?	Y	Y	Y	Y	Y	Y
QU=1 (short pulse)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	√	√	√	√	√	√
Log file available (Y/N)?	Y	Y	Y	Y	Y	Y
QU=2 (long pulse)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	√	√	√	√	√	√

Log file available (Y/N)?	Y	Y	Y	Y	Y	Y
QU=3 (persistent)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	√	√	√	√	√	√
Log file available (Y/N)?	Y	Y	Y	Y	Y	Y
General remarks						
• √						

APPENDIX B-3

Test Results of Regulating Step Command Transmission

ASDU type = 47/60	S+E on	S+E off	S+D on	S+D off	E on	E off
QU=0 (no add. def.)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	NA	NA	NA	NA	NA	NA
Log file available (Y/N)?	NA	NA	NA	NA	NA	NA
QU=1 (short pulse)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	NA	NA	NA	NA	NA	NA
Log file available (Y/N)?	NA	NA	NA	NA	NA	NA
QU=2 (long pulse)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI

Test results of the REGULATING STEP command (RCO)

'√' = tested

'-' = not tested

Detailed information on enclosures per Command type.

The datalink services are not shown in the details, only the command ASDUs.

Each IOA could be configured S/E or only E.

S+E on/off = Select & Execute command on/off

S & D = Select & Deactivate command on/off

E on/off = Direct Execute command on/off

ACTCONpos=Positive Activation Confirmation

ACTCONneg=Negative Activation Confirmation

DEACTCONpos=Deactivation Confirmation positive

ACTTERM=Activation Termination

If ACTTERM is stated in row 'message from the RTU', ACTCONpos with S/E=0 execute has been received before.

In case of a S+E command also ACTCONpos with S/E=1 select has been received before the ACT with S/E=0!

NOTE: this table shows the only correct behaviour. Other behaviour means the test failed!

Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	NA	NA	NA	NA	NA	NA
Log file available (Y/N)?	NA	NA	NA	NA	NA	NA
QU=3 (persistent)						
Message from RTU	ACTTERMpos	ACTTERMpos	DEACTCONpos	DEACTCONpos	ACTTERMpos	ACTTERMpos
Shown behaviour after Select / Execute	E	E	S or E	S or E	E	E
Status change RTU	Yes, HMI	Yes, HMI	No	No	Yes, HMI	Yes, HMI
Status change process	If available	If available	No	No	If available	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	NA	NA	NA	NA	NA	NA
Log file available (Y/N)?	NA	NA	NA	NA	NA	NA
General remarks	• ✓					

APPENDIX B-4

Test Results of Setpoint Command Transmission

<p>TEST RESULTS OF THE SETPOINT COMMAND (IEEE STD 754) 'X' = tested '-' = not tested Detailed information on enclosures per Command type. The datalink services are not shown in the details, only the command ASDUs. Each IOA could be configured S/E or only E. They should not be able to support both at a time. S+E on/off = Select & Execute command on/off S & D = Select & Deactivate command on/off E on/off = Direct Execute command on/off</p>		<p>ACTCONpos=Positive Activation Confirmation ACTCONneg=Negative Activation Confirmation DEACTCONpos=Deactivation Confirmation positive ACTTERM=Activation Termination If ACTTERM is stated in row 'message from the RTU', ACTCONpos with S/E=0 execute has been received before. In case of a S+E command also ACTCONpos with S/E=1 select has been received before the ACT with S/E=0! NOTE: this table shows the only correct behaviour. Other behaviour means the test failed!</p>	
ASDU type = 48/61	S+E	S+D	E
QL=0			
Message from RTU	ACTCONpos / ACTTERMpos	DEACTCONpos	ACTCONpos / ACTTERMpos
After S or E	E	S or E	E
Status change RTU	Yes, HMI	No	Yes, HMI
Status change process	If available	No	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	NA	NA	NA
Log files available (Y/N)?	NA	NA	NA
ASDU type = 49/62	S+E	S+D	E
QL=0			
Message from RTU	ACTCONpos / ACTTERMpos	DEACTCONpos	ACTCONpos / ACTTERMpos
After S or E	E	S or E	E
Status change RTU	Yes, HMI	No	Yes, HMI
Status change process	If available	No	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	NA	NA	NA
Log files available (Y/N)?	NA	NA	NA

ASDU type = 50	S+E	S+D	E
QL=0			
Message from RTU	ACTCONpos / ACTTERMpos	DEACTCONpos	ACTCONpos / ACTTERMpos
After S or E	E	S or E	E
Status change RTU	Yes, HMI	No	Yes, HMI
Status change process	If available	No	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	NA	NA	NA
Log files available (Y/N)?	NA	NA	NA
	•		

ASDU type = 63	S+E	S+D	E
QL=0			
Message from RTU	ACTCONpos / ACTTERMpos	DEACTCONpos	ACTCONpos / ACTTERMpos
After S or E	E	S or E	E
Status change RTU	Yes, HMI	No	Yes, HMI
Status change process	If available	No	If available
Required	PICS, 9.5 8.6	PICS, 9.5 8.6	PICS, 9.5 8.6
Result	✓	✓	✓
Log files available (Y/N)?	Y	Y	Y
	•		

ASDU type = 51/64	E
QL=0	
Result	✓

General remarks	•
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About DNV GL

Driven by our purpose of safeguarding life, property and the environment, DNV GL enables organizations to advance the safety and sustainability of their business. We provide classification and technical assurance along with software and independent expert advisory services to the maritime, oil and gas, and energy industries. We also provide certification services to customers across a wide range of industries. Operating in more than 100 countries, our 16,000 professionals are dedicated to helping our customers make the world safer, smarter and greener.